#### PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Docket No: Q77832

Hideki OHATA, et al.

Appln. No.: 10/573,415 Group Art Unit: 1711

Confirmation No.: 2945 Examiner: Unknown

Filed: November 20, 2006

For: π-CONJUGATED COPOLYMER, PRODUCTION METHOD THEREOF, AND

CAPACITOR USING THE COPOLYMER

### REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

**ATTN:** Office of Initial Patent Examination

Filing Receipt Correction Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

We enclose a copy of the Official Filing Receipt for the above-identified application and request the following corrections:

#### Assignment for Published Patent Application

SHOWA DENKO K.K., Tokyo, JAPAN SHOWA DENKO K.K.

Title

Conjugated copolymer, production method thereof and capacitor using the copolymer π-Conjugated copolymer, production method thereof and capacitor using the copolymer

Verification for the requested corrections is indicated on the Executed Assignment and Declaration and Power of Attorney filed November 20, 2006.

Respectfully submitted,

SUGHRUE MION, PLLC Bruce E. Kramer

Telephone: (202) 293-7060 Registration No. 33,725 Facsimile: (202) 293-7860

WASHINGTON OFFICE 23373
CUSTOMER NUMBER

Date: April 5, 2007



#### United States Patent and Trademark Office

UNITED STATES DEFARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1450 Alexandre, Viginia 22313-1450

APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	TOT CLMS	IND CLMS	
10/573,415	11/20/2006	1711	1630	Q77832	32	3	•

**CONFIRMATION NO. 2945** 

23373 SUGHRUE MION, PLLC 2100 PENNSYLVANIA AVENUE, N.W. SUITE 800 WASHINGTON, DC 20037



FILING RECEIPT
\*OC000000022634015\*

Date Mailed: 03/12/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Hideki Ohata, Chiba, JAPAN; Yoshihiro Saida, Chiba, JAPAN; Shuichi Naijo, Chiba, JAPAN;

**Assignment For Published Patent Application** 

SHOWA DENKO K.K., Tokyo, JAPAN

SHOWA DENKO K.K.

Power of Attorney: The patent practitioners associated with Customer Number 23373.

#### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/14444 09/24/2004 which claims benefit of 60/508,868 10/07/2003

#### Foreign Applications

JAPAN 2003-332653 09/25/2003

If Required, Foreign Filing License Granted: 02/23/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/573,415

Projected Publication Date: 06/07/2007

Non-Publication Request: No

Early Publication Request: No

Title

T - Conjugated copolymer, production method thereof and capacitor using the copolymer

**Preliminary Class** 

525

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filling of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filling of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where

Docket	No	٠	
コンひじかさに	TAO.	٠	_

# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION (37 C.F.R. 1.63)

# 特許出願宣言書および委任状(37 C.F.R. 1.63)

## Japanese Language Declaration

私は以下の通り宣言します:	I hereby declare that:
各発明者の住所、郵送先、および国籍は下記氏名の後に 記載された通りです。	Each inventor's residence, mailing address, and citizenship are as stated below next to their name.
下記名称の発明に関し請求範囲に記載され特許出願がされている発明内容につき、下記に記載された発明者が本来かつ最初の発明者であると信じます。	I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:
	π -CONJUGATED COPOLYMER PRODUCTION
	METHOD THEREOF, AND CAPACITOR USING THE
	COPOLYMER
□ 上記発明の明細書は本書に添付されます。	the specification of which is attached hereto
または	OR
□ 上記発明は米国出願番号あるいは PCT 国際出願番号	was filed on September 24, 2004 as United States Application Number or PCT International Application Number PCT/JP2004/014444 (Confirmation No), and was amended on(if applicable).
私は補正が上に明示された場合は補正された特許請求範 囲を含む前記明細書の内容を検討し、理解していること をここに表明します。	I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.
私は一部継続出願の場合先行出願の出願日から一部継続 出願の国内あるいは PCT 国際出願日までの期間中に入手 された重要な情報を含み、37 C.F.R. 1.56 に定義され	I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56, including for continuation-in-part application(s), material information

#### Japanese Language Declaration

私は35 U.S.C. 119(a)~(d) あるいは(f), または365(b) に基づき特許、発明者、あるいは植物育種家証書の下記外国出願、または365(a)に基づきアメリカ合衆国以外の少なくとも1ヶ国を指定した下記PCT国際出願についての外国優先権特典をここに主張するとともに、下記項目にx印を付けることにより優先権を主張する出願以前の出願日を有する特許、発明者、あるいは植物育種家証書の外国出願またはPCT国際出願を示します。

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application(s) which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application(s) having a filing date before that of the application on which priority is claimed.

		<b>F</b>	
Prior Foreign Application Nu 先行外国出願番号	umber(s)		Priority Claimed? 優先権の主張? Yes No
**************************************	•	September 25, 2003	有り 無し 図 🔲
2003-332653 (Application Number)	Japan (Country)	(Filing Date)	2 0
(出願番号)	(国名)	(出願日)	
•			
(Application Number)	(Country)	(Filing Date)	
(出願番号)	(国名)	(出願日)	
私は 35 U.S.C. 119(e)に基 内優先権をここに主張しま	づき下記の米国仮特許出 <i>願の</i> 国 ます。	I hereby claim domestic priority under 35 U United States provisional application(s) listed	.S.C. 119(e) of any below.
60/508,868	October 7, 2003		
(Application Number)	(Filing Date)		
(出願番号)	(出願日)		
(Application Number)	(Filing Date)		
(出願番号)	(出願日)		
は 365 (c) に基づき米国を益をここに主張し、本特の内容が 35 U.S.C. 112 より先行米国あるいは PC ない限りにおいて 37 C.I の特許性に肝要で、先行出願の国内あるいは PCI 手された情報についてす。	づき下記米国特許出願、あるい 指定する下記 PCT 国際出願の利 指定する下記 PCT 国際出願の利 許出願内特許請求範囲の各項目 の最初の項に規定される方法に T 国際特許出願で開示されてい F.R. 1.56 に定義される本出願 所特許出願の出願日から本特許 国際出願日までの期間中に入 開示義務があることを認めま	I hereby claim benefit under 35 U.S.C. 120 of application(s) or 365(c) of any PCT internate designating the United States, listed below subject matter of each of the claims of this disclosed in a listed prior United States or application in the manner provided by the 35 U.S.C. 112, I acknowledge my duty information material to the patentability of defined in 37 C.F.R. 1.56 which occurred date of the prior application and the international filing date of this application:	ional application(s) and, insofar as the application is not PCT international first paragraph of to disclose any this application as between the filing
Prior U.S. or International Ap 先行米国あるいは国際出	oplication Number(s) 頁番号		
(Application Number) (出願番号)	(Filing Date) (出願日)	(Status: patented, pending, abandoned) (状態:特許成立済、係属中、放棄済)	
(Application Number) (出願番号)	(Filing Date) (出願日)	(Status: patented, pending, abandoned) (状態:特許成立済、係属中、放棄済)	<u>:</u>
利は木富貴書内で利自身の	)知識に基づいてなされたすべ	I hereby declare that all statements made b	erein of my own

私は本宣言書内で私自身の知識に基づいてなされたすべての陳述が真実であり、情報および信ずるところに基づいてなされたすべての陳述が真実であると信じられていることをここに宣言し、さらに故意になされた虚偽の陳述等々は18 U.S.C. 1001 に基づき罰金あるいは拘禁または両方による処罰にあたり、またかような故意による虚偽の陳述はそれに基づく特許出願あるいは成立特許の有効性を危うくする可能性があることを認識した上でこれらの陳述をなしたことを宣言します。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

#### Japanese Language Declaration

委任状:私は下記の米国特許商標局 (USPTO) 顧客番号のもとに記載される SUGHRUE MION 法律事務所のすべての弁護士を、同顧客番号のもとに記載される個々の弁護士は Sughrue Mion 法律事務所のみの自由裁量に基づき変更され得ることを認識した上で、本特許出顧の手続きおよびそれに関わる米国特許商標周との業務を遂行する弁護士として指名し、本特許出顧に関するすべての通信が同 USPTO 顧客番号のもとに提出された住所宛に送付されることを要請します。

POWER OF ATTORNEY: I hereby appoint all attorneys of SUGHRUE MION, PLLC who are listed under the USPTO Customer Number shown below as my attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, recognizing that the specific attorneys listed under that Customer Number may be changed from time to time at the sole discretion of Sughrue Mion, PLLC, and request that all correspondence about the application be addressed to the address filed under the same USPTO Customer Number.

# STATEMENT OF ACCURATE TRANSLATION IN ACCORDANCE WITH 37 C.F.R. §1.69(b):

The declaration and power of attorney is an accurate translation of the corresponding English language declaration and power of attorney.

Signature

Date

WASHINGTON OFFICE

23373 CUSTOMER NUMBER

電話連絡は下記へ:

SUGHRUE MION, PLLC (202) 293-7060

Direct Telephone Calls to:

SUGHRUE MION, PLLC (202) 293-7060

			34 145 1 14 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
NAME OF SOLE OR FIRST INVENTOR: 唯一あるいは第一の発明者名				
Given Name (first and middle [if any]) Family Name or Surname				
名 (名およびミドルネーム[該当する場合]) Hideki	姓 OHATA			
Inventor's signature Hideki Ohata		Date 日付	February	28, 2006
Residence: 住所: CHIBA, JAPAN			Citizenship 国籍	JAPAN
Mailing Address: c/o Corporate R&D Center, SHOWA DENKO K.K., 1-1, Ohnodai 1-chome, Midori-ku, Chiba-shi, 尊送先: CHIBA 267-0056 JAPAN				
NAME OF SECOND INVENTOR: 第二の発明者名:				
Given Name (first and middle (if any))	Family Name or Sur	name		:
名 (名およびミドルネーム[該当する場合]) Yoshihiro	姓		SAIDA	
Inventor's signature けっかれがって えるする 発明者の署名		Date 日付	Fabruary	28, 2006
Residence:			Citizenship	
住所: CHIBA, JAPAN			国籍	JAPAN
Mailing Address: c/o Corporate R&D Center, SHOWA DENKO K.K., 1 郵送先: CHIBA 267-0056 JAPAN	-1, Ohnodai 1-chome	, Midori	-ku, Chiba-shi,	

		and the state of t	
NAME OF THIRD INVENTOR; 第三の発明者名:			
Given Name (first and middle [if any]) 名 (名およびミドルネーム[該当する場合]) Shuichi	Name (first and middle [if any]) Family Name or Sumame おとびと ドルネーム(該当する場合!) Shuishi 姓		NAIJO
Inventor's signature Shuichi Nayo 発明者の署名	_	Date 日付	February 28.2006
Residence: 住所: CHIBA, JAPAN	1		Citizenship 国籍 JAPAN
Mailing Address: c/o Corporate R&D Center, SHOWA DENKO K.K 郵送先: CHIBA 267-0056 JAPAN	, 1-1, Ohnodai 1-chom	ne, Midor	ri-ku, Chiba-shi,
NAME OF FOURTH INVENTOR: 第四の発明者名:			
Given Name (first and middle [if any]) 名 (名およびミドルネーム[該当する場合])	Family Name or St 姓	meme	
Inventor's signature 発明者の署名		Date 日付	
Residence: 住所:			Citizenship 国籍
Mailing Address: 郵送先:			
NAME OF FIFTH INVENTOR: 第五の発明者名:			
Given Name (first and middle [if any]) 名 (名およびミドルネーム[該当する場合])  ### Family Name or Surname  ### ### ### ### ### ### ### ### ### #			
Inventor's signature 発明者の署名	ventor's signature Date		
Residence: 住所:			Citizenship 国籍
Mailing Address: 郵送先:			
NAME OF SIXTH INVENTOR: 第六の発明者名:			
Given Name (first and middle [if any]) 名 (名およびミドルネーム[該当する場合])	Family Name or St 姓	ırname	
Inventor's signature 発明者の署名		Date 日付	
Residence: 住所:		<u> </u>	Citizenship 国籍
Mailing Address: 郵送先:			
NAME OF SEVENTH INVENTOR: 第七の発明者名:			
Given Name (first and middle [if any]) 名 (名およびミドルネーム[該当する場合])	Family Name or St 姓	mame	
Inventor's signature 発明者の署名		Date 日付	w
Residence: 住所:			Citizenship 国籍
Mailing Address: 郵送先:			

## **ASSIGNMENT**

Whereas, I/we,

Hideki OHATA

c/o Corporate R&D Center, SHOWA DENKO K.K., 1-1, Ohnodai 1-chome, Midori-ku, Chiba-shi, CHIBA 267-0056 JAPAN,

Yoshihiro SAIDA

c/o Corporate R&D Center, SHOWA DENKO K.K., 1-1, Ohnodai 1-chome, Midori-ku, Chiba-shi, CHIBA 267-0056 JAPAN, and

Shuichi NAIJO

c/o Corporate R&D Center, SHOWA DENKO K.K., 1-1, Ohnodai 1-chome, Midori-ku, Chiba-shi, CHIBA 267-0056 JAPAN

hereinafter called assignor(s), have invented certain improvements in

 $\pi\text{--}\textsc{CONJUGATED}$  COPOLYMER, PRODUCTION METHOD THEREOF, AND CAPACITOR USING THE COPOLYMER

and executed an application for Letters Patent of the United States of America therefor on ; and

Whereas,

SHOWA DENKO K.K. of

13-9, Shiba Daimon 1-chome, Minato-ku, TOKYO 105-8518 JAPAN

(assignee), desires to acquire the entire right, title and interest in the application and invention, and to any United States patents to be obtained therefor;

Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,

i/We, the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its successors and assigns, the entire right, title and interest in the application and the invention disclosed therein for the United States of America, including the right to claim priority under 35 U.S.C. § 119, and I/we request the Director – U.S. Patent and Trademark Office to issue any Letters Patent granted upon the invention set forth in the application to the assignee, its successors and assigns; and I/we will execute without further consideration all papers deemed necessary by the assignee in connection with the United States application when called upon to do so by the assignee.

I/We hereby authorize	and request my/our attorneys SUG	HRUE MION, PLLC of 2100
Pennsylvania Avenue, N.W.,	Washington, D.C. 20037-3213 to	insert here in parentheses
(Application number	and Confirmation number	filed_
) the filing date	and application number of said app	olication when known.

Data:	February 28, 2006 s/_	Hideki Chata
Date.	/ 3	Hideki OHATA
Date:	February 28. 2006 s/	Yoshihiro SalDA
Date:	February 28,2006 s/	Shuichi Nayo
		Shuichi NAIJO

(Legalization not required for recording but is prima facile evidence of execution under 35 U.S.C. §261)